

02/20/01

JC860

U.S. PTO

UTILITY
PATENT APPLICATION
TRANSMITTAL

New nonprovisional applications under 37 CFR 1.53(b)

Attorney Docket No.

8733.394.00

First Inventor or Application Identifier

Hwan-Seong YU

Title

COLOR FILTER SUBSTRATE HAVING IDENTIFICATION MARK

16918 U.S. PTO

09/185456

02/20/01

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

ADDRESS TO:

Assistant Commissioner for Patent
Box Patent Application
Washington, DC 20231

- 1.
- ☒
- Fee Transmittal Form (e.g. PTO/SB/17)
-
- (Submit an original and a duplicate for fee processing)

- 2.
- ☒
- Specification

Total Pages 17

- 3.
- ☒
- Drawing(s) (35 U.S.C. 113) Total Sheets 4

- 4.
- ☐
- Oath or Declaration

Total Pages

- a.
- ☐
- Newly executed (original or copy)

- b.
- ☐
- Copy from a prior application (37 C.F.R. §1.63(d))
-
- (for continuation/divisional with box 15 completed)

- i.
- ☐
- DELETION OF INVENTOR(S)

Signed statement attached deleting inventor(s) named
in the prior application, see 37 C.F.R. §1.63(d)(2) and
1.33(b).

- 5.
- ☐

Incorporation By Reference (usable if box 4B is checked)

The entire disclosure of the prior application, from which a copy of the
oath or declaration is supplied under Box 4B, is considered to be part
of the disclosure of the accompanying application and is hereby
incorporated by reference therein.

ACCOMPANYING APPLICATION PARTS

6. ☐ Assignment Papers (cover sheet & document(s))
7. ☐ 37 C.F.R. §3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
8. ☐ English Translation Document (if applicable)
9. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
10. ☐ Preliminary Amendment
11. ☒ White Advance Serial No. Postcard
12. ☐ Small Entity Statement(s) ☐ Statement filed in prior application. Status still proper and desired.
13. ☒ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
14. ☒ Other: List of Inventor Names & Addresses Request for Priority

Checks for \$ 1,060.00 (filing fee)

\$ 130.00 (late
declaration surcharge)

15. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below:

☐ Continuation ☐ Divisional☐ Continuation-in-part (CIP)

of prior application no.:

Prior application information: Examiner:

Group Art Unit:

16. Amend the specification by inserting before the first line the sentence:

☐ This application is a ☐ Continuation ☐ Division ☐ Continuation-in-part (CIP)
of application Serial No. Filed on☐ This application claims priority of provisional application Serial No.

Filed

17. CORRESPONDENCE ADDRESS

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Signature: 

Date: February 20, 2001

Name:

Registration No.:

Docket No. 8733.394.00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INVENTOR(S) Hwan-Se ng YU

SERIAL NO: To Be Assigned

FILING DATE: February 20, 2001

FOR: COLOR FILTER SUBSTRATE HAVING IDENTIFICATION MARK

FEE TRANSMITTAL

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

FOR	NUMBER FILED	NUMBER EXTRA	RATE	CALCULATIONS
TOTAL CLAIMS	35 - 20 =	15	× \$18 =	\$270.00
INDEPENDENT CLAIMS	4 - 3 =	1	× \$80 =	\$80.00
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS (If applicable)			+ \$270 =	\$0.00
<input checked="" type="checkbox"/> LATE FILING OF DECLARATION			+ \$130 =	\$130.00
BASIC FEE				\$710.00
TOTAL OF ABOVE CALCULATIONS				\$1,190.00
<input type="checkbox"/> REDUCTION BY 50% FOR FILING BY SMALL ENTITY				\$0.00
<input type="checkbox"/> FILING IN NON-ENGLISH LANGUAGE			+ \$130 =	\$0.00
<input type="checkbox"/> RECORDATION OF ASSIGNMENT			+ \$40 =	\$0.00
TOTAL				\$1,190.00

Please charge Deposit Account No. 50-0911 in the amount of

A duplicate copy of this sheet is enclosed.

Checks totalling \$1,190.00 to cover the filing and surcharge fees are enclosed.

- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to Deposit Account No. 50-0911.
A duplicate copy of this sheet is enclosed.

Respectfully Submitted,

LONG ALDRIDGE & NORMAN LLP

Rebecca A. Goldman

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Docket No. 8733.394.00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Hwan-Seong YU

FILING DATE: February 20, 2001

FOR: COLOR FILTER SUBSTRATE HAVING IDENTIFICATION MARK



LIST OF INVENTORS' NAMES AND ADDRESSES

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

SIR:

Listed below are the names and addresses of the inventors for the above-identified patent application.

1. Hwan-Seong YU
103/406 Choogang Apartment, 77 block
Chinpyong-dong, Kumi-shi, Kyoungsangbuk-
do, 730-360, Republic of Korea

A declaration containing all the necessary information will be submitted at a later date.

Respectfully Submitted,

LONG ALDRIDGE & NORMAN LLP

A handwritten signature in black ink, appearing to read "Rebecca A. Goldman", written over a horizontal line.

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Date: February 20, 2001

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